

#18611  
3-25-03

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. 3698D7
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In Re Application Of: **John Hendricks**

Serial No. 09/964,891	Filing Date September 28, 2001	Examiner N/A	Group Art Unit 2611
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Title: Set Top Terminal for Generating an Interactive Electronic Program Guide for use with Television Delivery System



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Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**MAR 19 2003**  
**Technology Center 2600**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
3698D7

In Re Application: John Hendricks

Serial No.

09/964,891

Filing Date

September 28, 2001

Examiner

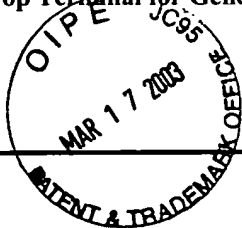
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Group Art Unit

2611

Set Top Terminal for Generating an Interactive Electronic Program Guide for use with Television

System



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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
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Dated: March 17, 2003

Kelly Lee

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)  
3698.D7

Application Number  
09/964,891

Applicant(s)  
John S. Hendricks

Filing Date  
September 28, 2001

Group Art Unit  
2611



## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5,635,989	6/3/1997	Rothmuller	725	46	
	2.	5,629,733	5/13/1997	Youman, et al.	725	53	
	3.	4,641,205	2/3/1987	Beyers, Jr.	386	83	
	4.	6,331,877	12/18/2001	Bennington, et al.	348	731	

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## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.